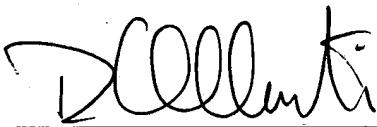

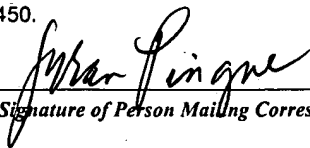


TRANSMITTAL LETTER (General - Patent Pending)		Docket No. 2102393-991140	
In Re Application Of: Pavel Polynkin			
Serial No. 10/006,533		Filing Date December 5, 2001	
Examiner Kara E. Geisel		Group Art Unit 2877	
Title: OPTICAL SPECTRAL POWER MONITORS EMPLOYING FREQUENCY-DIVISION-MULTIPLEXING DETECTION SCHEMES			
<p><u>TO THE COMMISSIONER FOR PATENTS:</u></p> <p>Transmitted herewith is:</p> <p style="margin-left: 40px;">Information Disclosure Statement, Form 1449, Statement Under 37 CFR 1.97(e), and PCT Search Report</p> <p>in the above identified application.</p> <p> <input type="checkbox"/> No additional fee is required. <input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 07-1896 as described below. </p> <div style="margin-left: 100px;"> <input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required. </div> <div style="margin-top: 20px;"> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="text-align: center;">  _____ <i>Signature</i> </div> <div style="text-align: right;"> Dated: May 22, 2003 </div> </div> <div style="margin-top: 10px;"> <p>David L. Alberti (Reg. No. 43,465) Gray Cary Ware & Freidenrich 1755 Embarcadero Road Palo Alto, CA 94303 Telephone No. 650-833-2052 Facsimile No. 650-320-7401</p> </div> </div> <div style="text-align: center; margin-top: 20px;">  26379 <small>PATENT TRADEMARK OFFICE</small> </div>			
I certify that this document and fee is being deposited on May 22, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.		<div style="text-align: center;">  <i>Signature of Person Mailing Correspondence</i> Susan Pingue <i>Typed or Printed Name of Person Mailing Correspondence</i> </div>	

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
2102393-991140

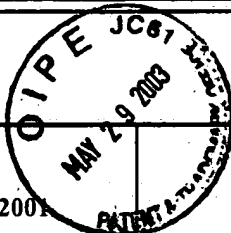
In Re Application Of: Pavel Polynkin

Serial No.
10/006,533

Filing Date
December 5, 2001

Examiner
Kara E. Geisel

Group Art Unit
2877



Title: **OPTICAL SPECTRAL POWER MONITORS EMPLOYING FREQUENCY-DIVISION-MULTIPLEXING
DETECTION SCHEMES**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
2102393-991140

In Re Application Of: Pavel Polynkin

Serial No.
10/006,533

Filing Date
December 5, 2001

Examiner
Kara E. Geisel

Group Art Unit
2877

Invention: **OPTICAL SPECTRAL POWER MONITORS EMPLOYING FREQUENCY-DIVISION-
MULTIPLEXING DETECTION SCHEMES**

TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

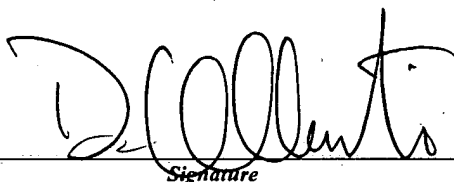
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: May 22, 2003

David L. Alberti (Reg. No. 43,465)
Gray Cary Ware & Freidenrich
1755 Embarcadero Road
Palo Alto, CA 94303
Telephone No. 650-833-2052
Facsimile No. 650-320-7401

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22313-1450


Signature of Person Mailing Correspondence

Susan Pingue

Typed or Printed Name of Person Mailing Correspondence

CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
2102393-991140

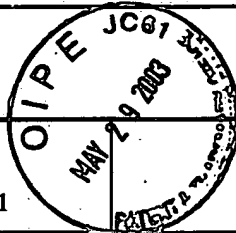
In Re Application: Pavel Polynkin

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10/006,533

Filing Date
December 5, 2001

Examiner
Kara E. Geisel

Group Art Unit
2877



**OPTICAL SPECTRAL POWER MONITORS EMPLOYING
DETECTION SCHEMES**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 07-1896 as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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Signature of Person Mailing Correspondence

Susan Pingue

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.


Signature

David L. Alberti (Reg. No. 43,465)
Gray Cary Ware & Freidenrich
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Palo Alto, CA 94303
Telephone No.: 650-833-2052
Facsimile No.: 650-320-7401

Dated: May 22, 2003



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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	Docket Number (Optional) 2102393-991140	Application Number 10/006,533
	Applicant(s) Pavel P lynkin, et al.	
	Filing Date December 5, 2001	Group Art Unit 2877

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		PCT International Search Report dated April 3, 2003 corresponding to PCT/US02/37684 filed on November 22, 2002.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.